



NRMLA STAFF USE ONLY

COMPANY: \_\_\_\_\_

COMPLAINT NUMBER: \_\_\_\_\_

DATE RECEIVED: \_\_\_\_\_

STATUS: \_\_\_\_\_

### ETHICS COMPLAINT FORM

Please complete this form to the best of your ability.

Return complete form and submit with any additional documents to [ethics@nrmlaonline.org](mailto:ethics@nrmlaonline.org) or by fax to (202) 265-4435.

### COMPANY FILING COMPLAINT

COMPANY NAME \_\_\_\_\_ Y / N  
NRMLA MEMBER

FIRST NAME \_\_\_\_\_ LAST NAME \_\_\_\_\_

PHONE \_\_\_\_\_ EMAIL \_\_\_\_\_

### COMPANY IN QUESTION

COMPANY NAME \_\_\_\_\_ Y / N  
NRMLA MEMBER

CONTACT FIRST NAME \_\_\_\_\_ CONTACT LAST NAME \_\_\_\_\_

CONTACT TITLE \_\_\_\_\_

PHONE \_\_\_\_\_ EMAIL \_\_\_\_\_

### NATURE OF COMPLAINT (CHECK ALL THAT APPLY)

- Firm is distributing false and misleading advertising (please fax or mail example)
- Loan officer misconduct (i.e., pressure sales tactics, abusive, stating misinformation)
- Firm refuses to release FHA case number
- Firm is seeking reimbursement for time and expense after client leaves
- Firm is charging client for services prior to counseling
- Firm is cross-selling inappropriate products
- Firm has questionable recruiting practices (i.e., "make a boatload of money originating reverse mortgages")
- Other (please specify)

### STATUS (NRMLA STAFF USE ONLY):

DATE \_\_\_\_\_ ACTION \_\_\_\_\_

\_\_\_\_\_

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