



IGNORANCE IS NOT A DEFENSE

COMPLIANCE AND
INFORMATION SECURITY IN A
TECHNOLOGY-DRIVEN WORLD



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The Headline

Capital One

- July 2019
- Unauthorized access to credit card applicant data
- 100+ million consumers in the U.S. and Canada affected

The Headline

First American

- May 2019
- Website security failure exposed mortgage applicant personal and financial records
- 885 million records

The Headline

Equifax

- September 2017
- Data breach endangered critical personal financial and identity information
- 147 million individuals affected

The Headline

Yahoo

- December 2016
- Security breach exposed personal information
- 3 billion individuals affected

The Framework

- Physical Security
- Information Security
- Compliance Management
- Oversight and Enforcement



Physical Security

- Doors
- Cameras
- Badges
- Destruction and disposal

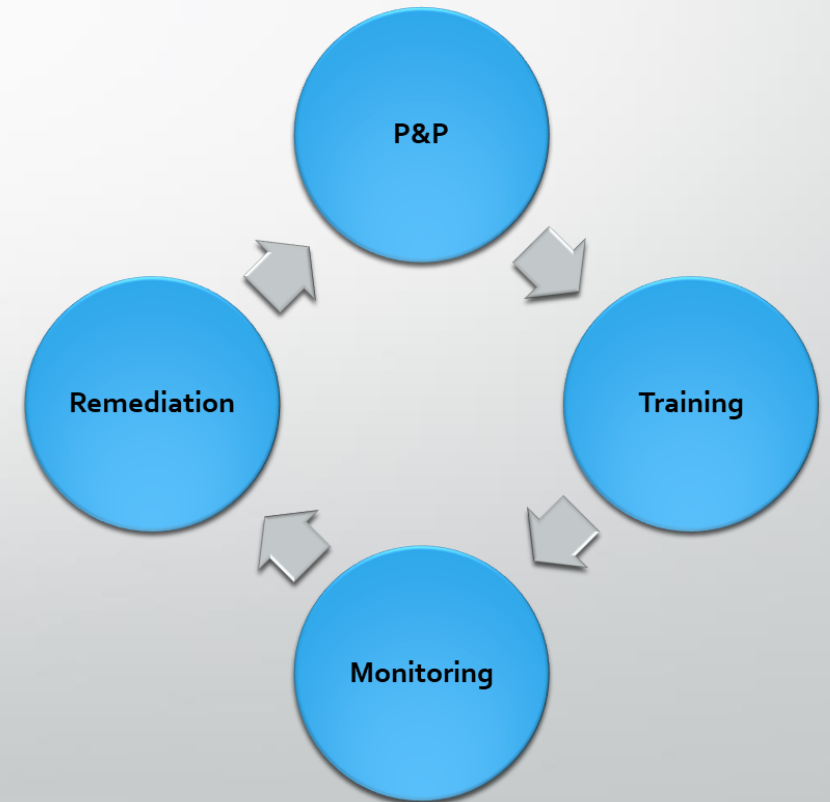
Information Security

- User management
- Device management
- Password management
- Encryption



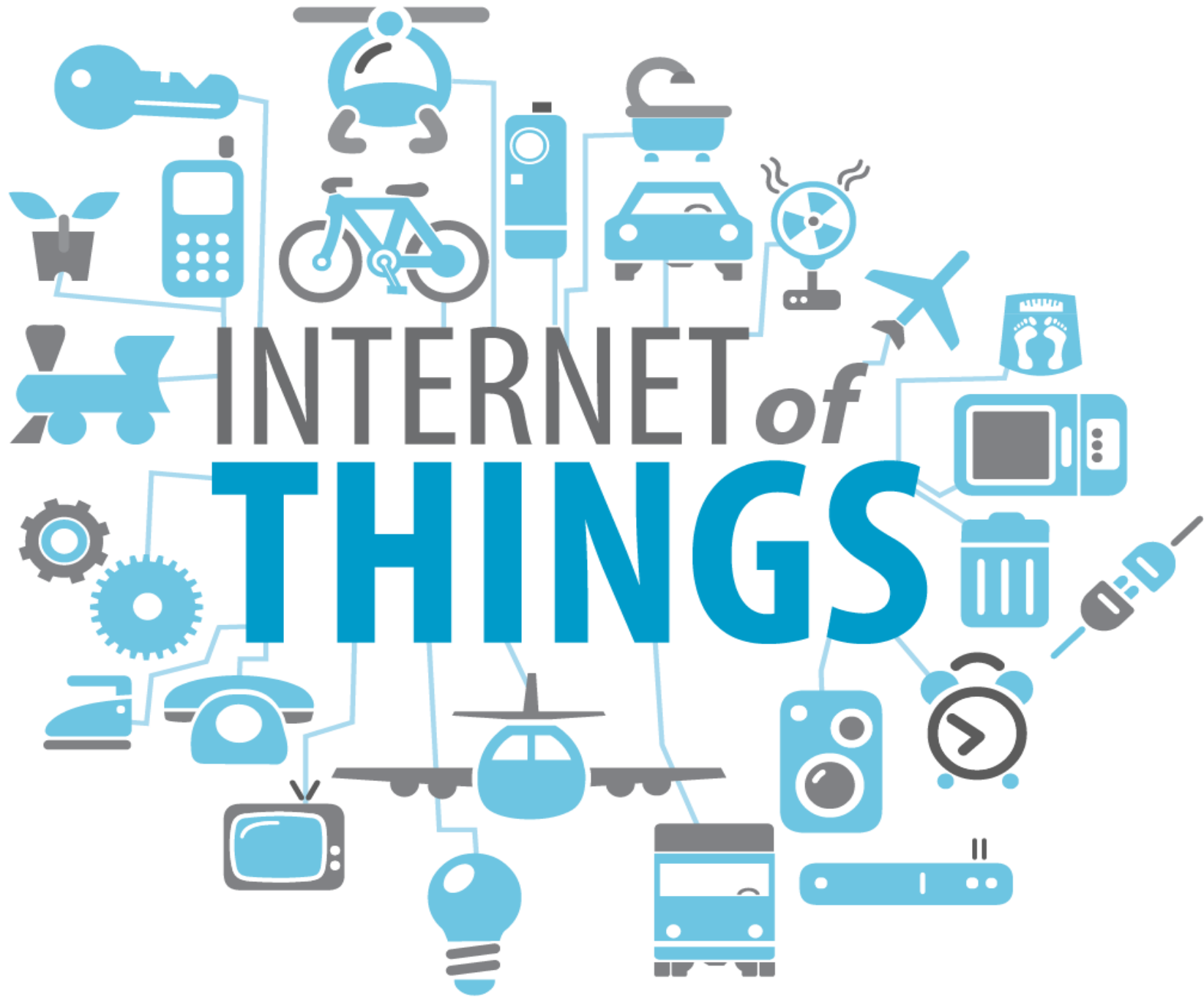
Compliance Management

- Policies and procedures
- Training
- Monitoring
- Remediation



Oversight & Enforcement

- Auditing and testing
- Risk assessment
- Business continuity strategy
- Hazard preparedness





Final Comments & Questions